

LAHIVE  
&  
COCKFIELD  
L L P

COUNSELLORS AT LAW  
28 STATE STREET  
BOSTON, MASSACHUSETTS 02109-1784  
TELEPHONE (617) 227-7400  
FAX (617) 742-4214  
lc@lahcoc.com

JOHN A. LAHIVE, JR. (1928-1997)  
THOMAS V. SMURZYNSKI  
RALPH A. LOREN  
GIULIO A. DeCONTI, JR.  
ANN LAMPORT HAMMITTE  
ELIZABETH A. HANLEY  
AMY BAKER MANDRAGOURAS  
ANTHONY A. LAURENTANO  
KEVIN J. CANNING  
JANE E. REMILLARD  
DAVID A. LANE, JR.  
JEANNE M. DIGIORGIO  
DEBRA J. MILASINCIC, Ph.D.  
NICHOLAS P. TRIANO, III  
WILLIAM D. DeVAUL

CHI SUK KIM  
DAVID J. RIKKERS  
DAVID R. BURNS  
JOHN S. CURRAN

SENIOR COUNSEL  
JAMES E. COCKFIELD

OF COUNSEL  
JEREMIAH LYNCH  
WILLIAM A. SCOFIELD, JR.  
PETER C. LAURO \*  
DeANN FORAN SMITH\*\*

\* Admitted in NY only  
\*\* Admitted in MI only  
\*\*\* Passed the Patent Bar Examination

PATENT AGENTS  
MEGAN E. WILLIAMS, Ph.D.

TECHNICAL SPECIALISTS  
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.,\*\*\*  
CYNTHIA M. SOROOS  
PETER W. DINI, Ph.D.  
LAUREN C. FOSTER, D.Sc.  
EUIHOON LEE  
ERICK B. BERGLUND, Ph.D.  
LISA M. DIROCCO  
JENNIFER K. ROSENFELD  
JUDITH STONE-HULSLANDER, Ph.D.

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Receipt

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July 12, 2000

JUL 03 2000

Patent and Trademark Office  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

TECH CENTER 1600/2900

Re: U.S. Patent Application No.: 09/553,368  
Title: "NOVEL VECTORS AND GENES EXHIBITING INCREASED  
EXPRESSION"  
By: Charles R. Ill et al.  
Filed: April 20, 2000  
Our Reference No.: TTI-180DV

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- (1) Request for Correction of Filing Receipt;
- (2) Copy of Filing Receipt with changes noted thereon; and
- (3) A return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on:

July 12, 2000  
Date

Jane E. Remillard, Esquire  
Reg. No. 38,872

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Jane E. Remillard, Esquire  
Reg. No. 38,872  
Attorney for Applicant

JEM/CSK:dmf  
Enclosures



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Charles R. Ill et al.

Serial No.: 09/553,368

Filed: April 20, 2000

For: Novel Vectors and Genes Exhibiting Increased Expression

Attorney Docket No.: TTI-180DV

Group Art Unit: 1632

Examiner: Not Yet Assigned

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JUL 13 2000

TECH CENTER 1600/2900

Patent and Trademark Office  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the Applicant, Charles R. Ill's last name. Mr. Ill's last name should be spelled capital I, two small "l's". A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Patent and Trademark Office, Office of Initial Patent Examination's, Customer Service Center, Washington, DC 20231 on:

July 12, 2000

Date

Jane E. Remillard, Reg. No. 38,872

Respectfully submitted,

LAHVE & COCKFIELD, LLP

Jane E. Remillard, Esquire

Reg. No. 38,872

28 State Street

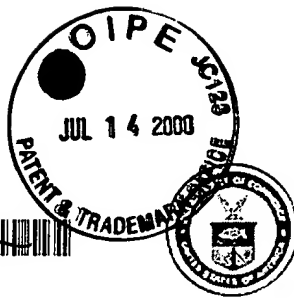
Boston, MA 02109

Tel. (617) 227-7400

## FILING RECEIPT



\*OC000000005196454\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/553,368	04/20/2000	1632	345	TTI-180DV	41	17	2

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AUG 03 2000

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

TECH CENTER 1600/2900

Date Mailed: 06/23/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) *Charles R. III*

~~Charles R. III~~, Encinitas, CA ;  
Jose E.N. Gonzales, San Diego, CA ;  
Claire Q. Yang, Carlsbad, CA ;  
Scott Bidlingmaier, New Haven, CT ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 09/205,817 12/04/1998  
WHICH CLAIMS BENEFIT OF 60/067,614 12/05/1997  
AND CLAIMS BENEFIT OF 60/071,596 01/16/1998

## Foreign Applications

PCT PCT/US98/25354 11/25/1998

If Required, Foreign Filing License Granted 06/22/2000

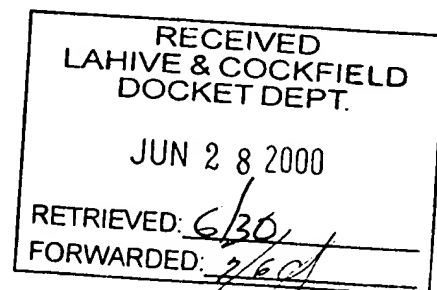
\*\* SMALL ENTITY \*\*

## Title

Novel vectors and genes exhibiting increased expression

## Preliminary Class

435



**FILE COPY**

Bib Data Sheet

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

<b>SERIAL NUMBER</b> 09/553,368	<b>FILING DATE</b> 04/20/2000 <b>RULE</b> -	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1632 1653	<b>ATTORNEY DOCKET NO.</b> TTI-180DV
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**APPLICANTS**  
Charles R. III, Encinitas, CA ;  
Jose E.N. Gonzales, San Diego, CA ;  
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Scott Bidlingmaier, New Haven, CT ;

**\*\* CONTINUING DATA \*\*\*\*\***  
THIS APPLICATION IS A DIV OF 09/205,817 12/04/1998  
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AND CLAIMS BENEFIT OF 60/071,596 01/16/1998

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***  
PCT PCT/US98/25354 11/25/1998

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***  
\*\* 06/22/2000

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 41	<b>TOTAL CLAIMS</b> 17	<b>INDEPENDENT CLAIMS</b> 2
35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged <i>[Signature]</i> Examiner's Signature Initials				

**ADDRESS**  
000959

**TITLE**  
Novel vectors and genes exhibiting increased expression

<b>FILING FEE RECEIVED</b> 345	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit